

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

043601/0110

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/284421

INTERNATIONAL APPLICATION NO.
PCT/GB97/02708

INTERNATIONAL FILING DATE
8 October 1997

PRIORITY DATE CLAIMED
8 October 1996

TITLE OF INVENTION

APPARATUS AND METHOD FOR CONDUCTING ASSAYS

APPLICANT(S) FOR DO/EO/US

John Francis Gordon

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A Separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
14. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
Return postcard

"Express Mail" Mailing Label No. EL13582256545
Date of Deposit APRIL 8, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231.

Name JORIE JOHNSON
(typed or printed)

Signature Jorie Johnson

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

PCT/GB97/02708

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- 17.
- ☒
- The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO\$970.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but International Search Report prepared by the EPO or JPO\$840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$760.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$670.00

International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00

CALCULATIONS PTO USE ONLY**ENTER APPROPRIATE BASIC FEE AMOUNT =**

\$840

Surcharge of \$130.00 for furnishing the oath or declaration later than
months from the earliest claimed priority date (37 CFR 1.492(e)).

☐ 20 ☒ 30

\$130

CLAIMS**NUMBER FILED****NUMBER EXTRA****RATE**

Total claims

42 - 20 =

22

X \$18.00

\$396

Independent claims

7 - 3 =

4

X \$78.00

\$312

MULTIPLE DEPENDENT CLAIM(S) (if applicable)

+ \$260.00

\$

TOTAL OF ABOVE CALCULATIONS =

\$1678

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than
months from the earliest claimed priority date (37 CFR 1.492(e)).

☐ 20 ☐ 30

\$

TOTAL NATIONAL FEE =

\$

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

TOTAL FEES ENCLOSED =

\$1678

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

Amount to be
refunded

\$

Amount to be
charged

\$

- a. ☒ A check in the amount of \$1678 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 06-1450 of Foley & Lardner. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive
(37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

FOLEY & LARDNER
One IBM Plaza
Suite 3300
330 North Wabash Avenue
Chicago, Illinois 60611-3608
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SIGNATURE

Jefferson Perkins

NAME

31.407

REGISTRATION NUMBER

09/284421

510 Rec'd PCT 08 APR 1999

New Attorney Docket No.: 043601/0110

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re the application of:

John Francis GORDON

PCT Application No.: PCT/GB97/02708

Filed: October 8, 1997

For: APPARATUS AND METHOD FOR
CONDUCTING ASSAYS

"Express Mail" Mailing Label

No. EL 135 822 565 45

Date of Deposit APRIL 8, 1999

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Dorie Johnson
Name

DORIE JOHNSON

Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

Applicant would like to amend the above-referenced PCT Application prior to entering the National Phase in the United States. Applicant is amending this application in order to remove the multiple dependent claims to conform with standard U.S. practice. The filing fee has been calculated on the claim set that exists after entering this amendment. Please amend the National Phase PCT Application as follows:

IN THE CLAIMS

Please amend claims 3, 4, 7, 8, 10, 11, 16, 17, 19, 21, 23, 25, 27, 29, 30, 36, 37, 42
as follows:

--3. (Amended) [As] An assay plate as claimed in claim 1 [or 2] wherein the walls are deep enough to retain a volume of fluid following withdrawal of fluid in the space above the wells.- -

--4. (Amended) [As] An assay plate structure as claimed in [any preceding claim] claim 1 wherein the plate structure is sector-shaped with a handle at the longer arc-portion to facilitate locating the sector on a disc.- -

--7. (Amended) An assay plate structure as claimed in claim 5 [or 6] wherein the sectors and the disc include lock and key portions to allow the sectors to be snap-fitted in the correct orientation only.- -

--8. (Amended) [As] An assay plate structure as claimed in [any one of claims 1 to 4] claim 1 wherein the assay plate structure is a disc moulded in one piece with a plurality of wells.- -

--10. (Amended) An assay plate structure as claimed in [any preceding claim] claim 1 wherein the disk structure has a circumferential gutter extending around its periphery to facilitate collection of fluid withdrawal from the chamber.- -

--11. (Amended) An assay plate structure as claimed in [any preceding claim] claim 1 wherein the plate structure and sector inserts are made of optically transmissive plastic.- -

--16. (Amended) An assay plate structure as claimed in [claims 13, 14 or 15] claim 15 wherein the surfaces are provided by respective upper and lower plates which are spaced apart by one or more spacer walls.- -

--17. (Amended) An assay plate structure as claimed in [any one of claims 13 to 16] claim 13 wherein the opening through which fluid is introduced into said space is provided through either the upper or lower surface.- -

--19. (Amended) An assay plate structure as claimed in [any one of claims 13 to 18] claim 13 wherein said opening for introducing a fluid comprises a relatively small opening arranged to receive the end of a syringe or similar liquid injecting device, where the opening forms a substantially air-tight seal around said end.- -

--20. (Amended) An assay plate structure as claimed in [any one of claims 13 to 19]

claim 13 wherein the underside of said upper surface of the container and the upper surface of the plate are treated to increase the hydrophobicity of such surfaces.- -

--21. (Amended) An assay plate structure as claimed in [any one of claims 12 to 20] claim 12 wherein the multi-well structure is a disk which comprises upper and lower circular plates, the internal surfaces of which respectively define said upper and lower opposed surfaces.- -

--23. (Amended) An assay plate structure as claimed in claim 21 [or 22] wherein the space between the upper and lower plates is subdivided, by one or more dividing walls, to provide a plurality of multi-well plates in which case each space is provided with an opening and a vent to enable each space to be independently filled.- -

--25. (Amended) An assay plate structure as claimed in [any one of claims 21 to 24] claim 21 wherein at least one of the upper and lower plates forming the structure are transparent to enable optical inspection of the wells from outside the structure.- -

--27. (Amended) An assay plate structure as claimed in [any one of claims 12 to 20] claim 12 wherein there is provided a disc arranged to receive a plurality of sector-shaped inserts each of which comprises a generally planar upper surface having a plurality of wells provided therein, the disk having, for each insert, a substantially planar surface arranged, in

use, to oppose said substantially planar insert surface and means for retaining the insert in position so that the respective planar surfaces are in a closely spaced arrangement to one another, and to said at least two openings.- -

--29. (Amended) An assay plate structure as claimed in claim 27 [or 28] wherein the vent opening is provided at, or adjacent to, the peripheral edge of the disc.- -

--30. (Amended) An assay plate structure as claimed in [claims 27 to 29] claim 27 wherein the disc comprises upper and lower circular plates separated by radially extending spacers.- -

--36. (Amended) A method as claimed in claim 34 [or 35] wherein the surfaces with wells having the first fluid carrying reagents are prior prepared for loading into the structure.--

--37. (Amended) A method as claimed in [any one of claims 34 to 36] claim 34 wherein after optical assessment of the results of the assay, the automated fluid handling apparatus is used to inject and withdraw rinsing fluid a predetermined number of times from the well tray to clean the wells for receiving subsequent samples for assay.- -

--42. (Amended) An assay plate structure as claimed in [any one of claims 39 to 41] claim 39 wherein a vent opening is provided for each disc segment around the periphery

thereof, between the radially outer edge of the upper plate and each disc insert.- -

REMARKS

The Amendments to this application were submitted in order to remove multiple dependencies in order to conform to standard U.S. practice. No new matter is added by this amendment.

Respectfully submitted,

FOLEY & LARDNER



Jefferson Perkins

Reg. No. 31,407

FOLEY & LARDNER

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April 8, 1999



Applicant or Patentee: John Francis GORDON

Attorney's Docket No. 043601/0110

Serial or Patent No.: 09/284,421

Filed or Issued: April 8, 1999

For: APPARATUS AND METHOD FOR CONDUCTING ASSAYS

**VERIFIED STATEMENT (DECLARATION)
CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) and 1.27(b))
SMALL BUSINESS CONCERN**

I hereby declare that I am

☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN MOLECULAR DRIVES LIMITED

ADDRESS OF CONCERN UNIVERSITY OF GLASGOW,

2 THE SQUARE, GLASGOW G12 8QQ, UNITED KINGDOM

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 37 CFR 1.21.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled APPARATUS AND METHOD FOR CONDUCTING ASSAYS by inventor(s) JOHN FRANCIS GORDON described in

☐ the specification filed herewith

☒ application Serial No. 09/284,421,
filed April 8, 1999.

☐ Patent No. _____,
issued _____.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULLNAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT

ORGANIZATION

FULLNAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT
ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements and the like were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING JOHN FRANCIS GORDON.

TITLE OF PERSON OTHER THAN OWNER DIRECTOR.

ADDRESS OF PERSON SIGNING 5 PARK CRESCENT
TORRANCE GLASGOW G64 4BH.

SIGNATURE John Gordon DATE 1st June 1999.

3PRTS

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08 APR 1999

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APPARATUS AND METHOD FOR CONDUCTING ASSAYS

The present invention relates to apparatus and to a method for conducting assays and, in particular, to multi-well plate structures for receiving and holding, in separate wells, volumes of liquid for the purpose of conducting chemical or biochemical assays. Multi-well trays or plates having a 2-dimensional array of small wells are commonly used in medicine and science to facilitate testing of a liquid analyte. One particular area of use is blood screening where blood or blood products are introduced into the wells to test for viruses such as HIV, hepatitis etc.

Such tests (immunoassays) typically involve an antigen-antibody interaction, where the surfaces of the wells are coated with specific antigen itself. This approach detects circulating antibodies to that specific antigen. Alternatively the wells can be coated with a specific antibody which captures circulating antigen which is, in turn, identified by a second antibody directed against a second epitope on the captured antigen. These two approaches are just two of the large number of variants developed in immunoassay (review Principles and Practice of Immunoassay Price & Newman 1997 ISBN 1-56159-145-0).

In an immunoassays sample must be applied and in most cases subsequent addition of reagents or washing buffer is required. Typically the well is exposed to blood or blood product and the well is rinsed clean and a further reactant, which binds either to exposed antibodies or captured antigens is introduced into the wells, to create an observable reaction. These reactions may produce a colour or some other observable change. This enables the wells containing specific antigen antibody reactions to be identified and the extent of these reactions quantified.

It is often necessary to fill each well of a multi-well tray with a precisely defined volume of analyte. This is normally achieved using a single or multi-headed micro-

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pipette. However, this process is often time consuming and, particularly where a large number of wells are to be filled, can lead to a number of wells being missed.

In certain circumstances it is necessary that the wells of a tray be contained within a substantially closed container, e.g. to avoid the risk of contamination of the wells and of leakage of contaminated material. With trays such as this, it may be difficult or impossible to gain access to the wells to enable them to be filled using a micro-pipette.

It is an object of the present invention to overcome or at least mitigate the disadvantages of known multi-well trays.

This is achieved by providing a multi-well assay plate structure which defines a relatively shallow substantially enclosed space above a plurality of wells, with the enclosed space having an inlet and an outlet separate from the inlet. Fluid introduced via the inlet flows into the space, and covers the wells, by displacing air. Withdrawal of the fluid from the space via the inlet or outlet leaves fluid in the wells allowing various tests to be performed.

According to a first aspect of the present invention there is defined a multi-well assay plate structure comprising:

a first upper surface,

a second lower surface having a plurality of wells disposed therein,

the first and second surfaces defining a chamber having an inlet and an outlet, the inlet and outlet allowing fluid to be introduced and withdrawn from the chamber, the wells being proportioned and dimensioned to retain a volume of fluid in each well following withdrawal of the liquid.

Preferably, the chamber is shallow enough to allow fluid to fill the wells and the chamber. The wells are deep enough to retain a volume of fluid following

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withdrawal of fluid in the space above the wells.

5 The plate structure can be of any convenient shape but, advantageously, is sector-shaped with a detachable handle at the longer arc-portion to facilitate locating the sector on a disc. Conveniently, a plurality of sector-shaped structures are located on the disc.

10 Conveniently, also the sectors and discs are made of plastic and the sectors can be snap-fitted onto the disc. The sectors and the disc include lock and key portions to allow the sectors to be snap-fitted in the correct orientation only.

Alternatively, a disc with a plurality of separate sections can be manufactured or moulded in one piece instead of snap-in sectors.

15 The composite structure may be snap-fitted onto a compact disk.

20 The disk structure may have a circumferential gutter extending around its periphery to facilitate collection of fluid following fluid introduction/withdrawal from the chamber.

25 The wells are dimensioned and proportioned in terms of diameter and depth to receive and retain fluid containing the analyte or part of the reagent under test. The exact dimensions are a matter of choice and depend on a number of parameters such as the type of material of the surfaces of the chamber and wells; viscosity of the fluid and the depth (height) of the space between the first and second surfaces.

30 Advantageously, the dimensions of the structure are such that the wells fill to retain sufficient fluid the space is flooded and withdrawal to allow a measurable reaction to be measured within an individual well without contribution from adjacent wells. The overall process of sequential steps of flood and fill is advantageous in that
35 it allows both discrete measurements within individual wells when filled and efficient washing of an array of wells (flood) which is useful in multistep procedures, such

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as immunoassays, which requires sequential application of reagents interspersed with rigorous washing steps. This permits the wells to be cleaned or rinsed in the same way as filling to allow subsequent tests to be carried out within an individual well whilst avoiding cross-contamination between adjacent wells.

The structure is preferably made of transparent or otherwise optically transmissive plastic to facilitate optical reading of the wells to determine the results of the tests. Conveniently, the structure is integrated with automatic fluid handling apparatus and an optical reader to allow automatic fluid handling and optical assessment of the results of the reactions. Alternatively, fluid handling can be manually controlled and the results of the reactions within the structure can be assessed by an optical reader or be scored by visual assessment.

According to a second aspect of the present invention there is provided a multi-well assay structure comprising an upper surface and a lower closely spaced opposed surface, said upper and lower surfaces defining a relatively shallow space therebetween, the lower surface having a plurality of wells therein, at least two spaced apart openings providing access to said space from an external location, wherein a fluid introduced into said space through one of said openings fills substantially all of the space and covers of the wells and said fluid, when subsequently withdrawn through the same or the other opening, leaves the wells filled with liquid.

The volume of fluid introduced into each well when using the structure of the present invention is substantially defined by the volume of the well. The accuracy and precision with which the wells can be filled is therefore defined by the accuracy and precision with which the wells can be fabricated and which is generally high. Furthermore, the multiplicity of wells can be filled by way of a single injection and withdrawal of fluid through an opening into the space containing the wells, so

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that the wells can be filled extremely rapidly.

The structure of the present invention provides for the filling of a plurality of wells in a substantially closed chamber, the only openings into that container being the fluid injection opening and a second 'vent' opening.

The structure of the present invention simplifies the process of cleaning or rinsing previously filled wells as this can be achieved by repeatedly injecting and withdrawing fluid through one of said openings.

Conveniently, the spacing between said upper and lower surfaces is sufficiently small to facilitate the flow of fluid in said space by capillary or capillary like action. Typically, the spacing is less than 1mm and preferably less than 0.5mm.

Preferably, said upper and lower surfaces are substantially planer.

The wells may have any suitable geometry. For example, the wells may be provided in said lower surface by blind circular holes with a semi-spherical termination. Alternatively, the wells may have substantially straight sidewalls, e.g. so that the sidewalls extend substantially vertically and terminate in a flat base. Vertical sidewalls assist in preventing the transfer of fluid between adjacent wells.

The surfaces may be provided by respective upper and lower plates which are spaced apart by one or more spacer walls.

Preferably, the opening through which fluid is introduced into said space is provided through either the upper or lower surface and, more preferably, through the upper surface. The additional opening may be provided through said upper or lower surface or through a side surface.

Preferably, said opening for introducing a fluid comprises a relatively small opening arranged to receive the end of a syringe or similar liquid injecting device, where the opening forms a substantially air-tight seal

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around said end.

Preferably, said lower surface of the container is treated to increase the hydrophobicity to facilitate smooth flow of liquid across the sector and hydrophilicity to aid movement of liquid into desired locations, e.g. wells. This helps to prevent the formation of air pockets in the space and aids filling of the wells. The treatment may comprise for example exposing the surface to a wetting agent, e.g. poly-l-lysine, or exposing the surface to a gas plasma.

In one embodiment of the present invention, the multi-well structure is embodied in a disc. The disc effectively comprises upper and lower circular plates, the internal surfaces of which respectively define said upper and lower opposed surfaces. Preferably, said opening for introducing liquid into the space is a hole passing through the upper circular plate. Preferably, the second opening is provided at the peripheral edge of the disc. The space between the upper and lower plates is subdivided, by one or more dividing walls, to provide a plurality of multi-well plates in which case each space is provided with an opening and a vent to enable each space to be independently filled. The dividing walls may extend radially and/or may be concentric to one another.

Preferably, at least one of the upper and lower plates forming the container are transparent to enable optical inspection of the wells from outside the container. The other of the upper and lower plates may comprise a reflecting surface so that radiation entering into the container through the transparent plate transverses the container in both directions, resulting in an improved signal detection for optical inspection.

In an alternative embodiment of the present invention there is provided a disc arranged to receive a plurality of sector (pie) shaped inserts each of which comprises a generally planar upper surface having a plurality of wells provided therein. For each insert, the disc comprises a

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substantially planar surface arranged, in use, to oppose said substantially planar insert surface and means for retaining the insert in position so that the respective planar surfaces are in closely spaced opposition to one another, and said at least two openings.

Preferably, the opening for filling the container is provided through the planar surface of the disc. The vent opening is preferably provided at, or adjacent to, the peripheral edge of the disc.

The disc preferably comprises upper and lower circular plates separated by radially extending spacers. The spacers define slots between the plates for receiving said inserts. Preferably, said planar surface of each insert comprises upstanding walls around at least a portion of its periphery for the purpose of sealing the inner edges of the insert to the opposed planar surface of the disc, thereby to prevent seepage of liquid around the insert.

According to a third aspect of the present invention there is provided a method of filling the wells of the multi-well structure of the above first aspect of the present invention, said method comprising the steps of:

introducing a fluid into said chamber through one of said openings to substantially flood the chamber;

and subsequently withdrawing the fluid from the chamber through the same or the other opening to leave liquid in the wells.

Preferably, the method further includes the step of forming an air tight seal between the fluid inlet and an end region of a syringe or similar liquid injecting device, and injecting fluid through the opening into the chamber and subsequently sucking liquid out of the space through the opening.

According to a fourth aspect of the present invention there is provided a method of conducting a chemical or biochemical assay said method comprising the steps of:

providing a surface within a substantially enclosed chamber having a plurality of wells at spaced locations

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sufficient to allow a reaction at each well location,
treating each well with a first reagent, flooding the
enclosed chamber and covering the wells with a fluid
carrying at least a second reagent,

5 removing excess fluid from said chamber to leave a
mixture of said first and second reagents in each well, and
optically assessing each well and determining if a
reaction occurred and correlating the reaction results to
provide an assay of the chemical or biochemical reactions
10 under test.

Preferably, the step of optical assessment is carried
out automatically using optical reading apparatus.

15 Preferably also, the surfaces with the wells having
first fluid carrying reagents are prior prepared for
loading into the structure.

Conveniently, the fluid carrying at least the second
reagent is introduced into the structure and withdrawn from
the structure using suitable automatic fluid handling
apparatus.

20 Conveniently also, after optical assessment of the
results of the assay, the automated fluid handling
apparatus is used to inject and withdraw rinsing fluid a
predetermined number of times from the well tray to clean
the wells for receiving subsequent samples for assay.

25 According to a fifth aspect of the present invention,
there is provided chemical/biochemical assay apparatus
comprising an assay plate structure defined in said first
aspect and having a plurality of wells for receiving
samples to be assayed,

30 fluid handling means for introducing and removing
fluid reagents into said assay plate structure to allow a
fluid reagent mixture to be retained in each well, and

optical assessment means for measuring optical result
of the reaction in each well.

35 Preferably, the fluid handling means and the optical
assessment means are automated.

According to a sixth aspect of the present invention

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there is provided an assay plate structure for use in conducting optical assays of a fluid analyte, the plate structure comprising:

5 a disc for rotation about a central axis, the disc having upper and lower plates and a plurality of substantially radially extending walls disposed between the plate, wherein said walls sub-divide the disc into a plurality of disc sectors; and

10 a plurality of disc inserts arranged to be received by respective disc sectors and to be retained therein,

the structure further having a plurality of openings through the upper surface, at least one opening above each disc sector for introducing a liquid analyte into the sector space between the plate and the disc insert.

15 Preferably, the disc further comprises a lower plate, spaced apart from said upper plate by said radially extending walls. More preferably, the upper and lower plates are circular.

20 Preferably, the upper surface of each disc insert and the opposed surface of the plate are substantially planar, and, more preferably, are in a closely spaced arrangement.

Preferably, a vent opening is provided for each disc segment around the periphery thereof, between the radially outer edge of the upper plate and each disc insert.

25 These and other aspects of the present invention will now be described with reference to the accompanying drawings, in which:

30 Fig. 1 is a diagrammatic representation of a multi-well assay plate structure according to a first embodiment of the present invention;

Figs. 2a to 2c illustrate the steps involved in filling the wells of the container of Fig. 1;

Fig. 2d is an enlarged detail of part of the structure of Figs. 2a to 2c;

35 Fig. 3 shows a multi-well assay plate structure according to a second embodiment of the present invention;

Fig. 4a shows a third embodiment of a disc-style

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structure for conducting multi-tests;

Fig. 4b shows an enlarged cross-sectional detail of Fig. 4a to allow snap-fitting of the plates in the disc sectors;

5 Fig. 4c is a fourth embodiment of a disc-style structure for conducting multi-tests;

Fig. 4d shows a modification of the outer disc with hinged sectors and which is applicable to the previous embodiments;

10 Fig. 5 depicts chemical/biochemical assay apparatus for conducting an assay on reactions carried out using the multi-well assay plate structures shown in Fig. 3 or Figs. 4a b, c and d, and

15 Figs. 6a and 6b depict the data and graphs respectively of antigen/antibody biochemical assays carried out using the apparatus of Fig. 5 on the assay plate shown in Fig. 4a, b, c and d.

Reference is first made to Fig. 1 which shows a multi-well assay plate, generally indicated by reference numeral 10, having a box-like construction with a rectangular cross-section. The assay plate 10 comprises an upper plate 12, a lower plate 14, and side and rear spacers 16,18,20 all of which are made of a transparent polycarbonate. The front of the box, indicated generally by the reference numeral 22, is open to the surrounding space.

25 The spacers 16,18,20 are dimensioned to produce a space 21 of uniform spacing d between the opposed inner surfaces 12a,14a of the upper and lower plates 12,14. Spacing d is chosen such that a selected liquid is able to flow through the space 21 between the upper and lower plates 12,14 in a controlled manner by capillary or capillary-like action. Generally, d is less than 0.5mm.

30 A small opening 23 extends through the upper plate 12 to communicate the inner space 21 with the exterior space surrounding the container. Opening 23 is located close to the rear wall 20 in order to prevent air-locks forming in the container during filling as will be described in more

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detail below.

A regular array of wells or depressions 24 are formed in the upper surface 14a of the lower plate 14. Typically, the polycarbonate assay plate with wells 24 is produced by suitably moulding the lower plate 14 or by etching or pressing. The wells 24 are 2mm in diameter and 1mm deep and typically have a volume of 5 μ l and any suitable number of wells may be provided. The wells are spaced 4mm apart (centre to centre).

Figs. 2a to 2c illustrate the process by which the wells 24 of the assay plate 10 are filled with a liquid analyte 25. The end 26 of a syringe 28 containing the liquid analyte 25 is pressed into the opening 23 provided in the upper plate 12 of the container 10 (Fig. 2a) so as to form an air-tight seal between the periphery of the syringe and the inner surface of the opening 23. The plunger 30 of the syringe 28 is then depressed to force the liquid 25 through the opening 23 into the space 21 within the plate 10. As best seen in Fig. 2b, due to the capillary or capillary like flow of liquid through the space 21, the entire space 21 is filled and wells 24 are covered before liquid 25 begins to flow through the front open face 22 of the container 10. When it is observed that all of the space 21 is filled and the wells 24 are covered with liquid, and preferably prior to liquid flowing out through the front face 22, the plunger 30 of the syringe 28 is withdrawn. This action empties the space 21 of liquid, but results in the wells 24 being filled with liquid 25 as shown in Fig. 2c. Fig. 2d shows an enlarged cross-sectional view through part of the assay plate structure and showing how liquid is retained in wells 24 up to the meniscus. As with the filling process, liquid flows from the space 21 in a controlled manner. No puddles or drops of liquid remain in the space 22, other than in the wells 24.

It will be appreciated that prior to introducing the liquid analyte 25 into the space 21, for example during the

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manufacture of the assay plate 10, the wells 24 of the plate 10 may be coated with an appropriate reactant. For example, if it is desired to conduct antigen-antibody reactions, the wells 24 are coated with an antigen. The remainder of the surface 14a is coated with a blocking agent to prevent antigen and antibodies from binding to surface 14a. Once the wells 24 have been filled with the liquid analyte 25, any antibodies present in the liquid analyte 25 will bind with the antigens contained in the wells 24. There is no binding of the antibodies to surface 14a. If it is necessary to conduct a further reaction in the wells 24, e.g. to bind a coloured or fluorescent label to the bound antibodies or exposed antigens, it is possible to repeat the steps of Figs. 2a to 2c in order to introduce the labelled components into the wells 24. Prior to introducing the labelled components, if it is necessary to rinse the wells 24 and the inner surfaces 12a, 14a of the plate 10, this is again easily achieved by repeating steps 2a to 2c with the syringe 28 containing, for example, distilled water.

There is illustrated in Fig. 3 a second embodiment of the present invention which depicts a multi-well assay plate in the form of a disk 32 designed for use with a rotating scanning device having a CD player type format. One such device is described for example in WO96/09548. The disk 32 shown in Fig. 3 comprises a pair of upper and lower circular plates 34, 36 sandwiched together to provide a cylindrical space 38 therebetween. This space 38 is divided into eight sectors 40 by radially extending spacers 42. A plurality of wells 44 are provided in each sector 40 (one set of which is shown in broken outline) by forming the upper surface 36a of the lower circular plate 36 as described with reference to Fig. 1. The wells 44 are of the same size and are spaced as for Fig. 1.

Each sector 40 provides a chamber or space 46 which can be filled independently via openings 48 provided through the top surface of each sector 40. The peripheral

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edge 50 of each sector 40 is open to the surrounding space to provide a vent for the sector 40 to allow liquid to flow through the space or chamber 46 by displacing air therefrom.

5 In order to enable the disk 32 to be compatible with scanning devices such as are described in WO 96/09548, the upper and/or lower plates 34,36 are made of transparent polycarbonate to enable a light beam to be scanned across the disk surface. The disk 32 is provided with a central
10 hole 52 to enable the disk 32 to be mounted on a rotatable shaft.

As is described in WO 96/09548, one of the surfaces of the upper or lower plates 34,36 may be provided with digitally encoded address information which can be read by
15 the scanned light beam. This information may be encoded by way of "pits" and "lans" pressed or moulded into one of the plates. This address information can be used to provide accurate location information on the part of the disk which is begin scanned by the light beam.

20 There is shown in Fig. 4 a third embodiment of a disk assay plate 54 which comprises upper and lower circular transparent polycarbonate plates 56,58 which are spaced apart by a number of radially extending spacer walls 60 to create a plurality of disk sectors 62. The inner surfaces
25 56a,58a of the circular plates 56,58 are both planar.

Each disk sector 62 is arranged to receive a sector plate insert 64 which is a transparent polycarbonate plate with a detachable handle 66 on the outer side to facilitate entry and removal of the plate insert 64 in the sector 62.
30 The plate insert 64 and spacer wall 60 have respective recesses/projections (not shown in the interest of clarity) which allow the assay plate 64 to be inserted only in the correct orientation. The plate 64 has a groove 68, as shown in Fig. 4b for example, which allow the insert to be
35 snap-fitted over a projection 70 upstanding from plate 58 into the sector. The thickness of the sector insert plate 64 is marginally less than the spacing provided between the

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upper and lower plates 56,58 so that the plate insert 64 can be pressed/fitted into one of the disk sector 62 to define a liquid receiving chamber or space 73 between the upper surface 64a of the insert plate 64 and the lower surface 56a of the upper disk plate 56. Openings 72 are provided through the upper plate 56 into each disk sector 64 whilst the space 70 between the radially outermost peripheral edge 74 of the insert plate 64 and the upper plate 56 provides a further vent or filling opening into the disk sector 64.

The surface 64a of the insert plate 64 is provided with a plurality of wells 76 as described with respect to Fig. 1. The wells are 2mm in diameter, 1mm in depth and 4mm apart (spaced between centres). These wells are filled by introducing liquid into the disk sector 64 through the upper opening 72 to fill space 70 and subsequently withdrawing the liquid through the same opening as previously described.

Reference is now made to Fig. 5 of the drawings which depicts assay apparatus for conducting an assay on reactions carried out using the assay plate structures of the already described embodiments. However, for convenience, the assay apparatus will be described in combination with the preferred embodiment shown in Figs. 4a,b with like numerals referring to like parts.

In this case the plate 54 is mounted on a shaft 74 carried by a turntable 77. The apparatus includes a suitable automatic fluid filling/withdrawal system, generally indicated by reference numeral 80, which operates a syringe 82 to dispense/retrieve fluid from a reservoir 84 via the openings 72 into the space 70 between the plate surface 56a and the surface 64a of each sector plate 64. The fluid can of course be dispensed and retrieved manually if desired. This is achieved for each sector by rotating the disk plate 54 to a suitable position to allow fluid filling/withdrawal. It will be appreciated that the plates are pre-prepared with various reagents, e.g.

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antigens, and they are inserted in the appropriate wells 76, as described with reference to Figs. 4a,4b. The plates are first flooded with fluid carrying antibodies and withdrawal of the fluid leaves the antibody/antigen reagents filling the wells 76 resulting in a reaction.

The following example of an assay within the embodiment shown in Fig. 4b is described to provide a better understanding of the steps involved:

Multi-Antigen Elisa Using Sectors

- 10 1. The underside of upper surface (56a) of is coated with silicone spray to aid fluid movement. Sector plates 64 are also coated including wells 76. Any excess silicone is removed.
- 15 2. Sectors wells 76 are loaded by hand with a panel of seven antigens - Human Serum Albumin, Antitrypsin, Macroglobulin, Antithrombin III, Catalase, Antichymotrypsin and Plasminogen at a concentration of 20ug/ml in PBS and a volume of 2ul/well. Control wells contain PBS only. Antigens can be arranged in blocks of the same on the sector plate 64 in a series giving a panel of tests evenly distributed over the sector. Incubate at room temperature for 15 minutes.
- 20 3. Wash with 0.05% PBS-Tween using flood/fill technique - 1ml is flooded across the sector plate via holes 72 in the top plate using a 1ml pipette. This pipetted up and down three times then withdrawn and the washing discarded. This repeated a further three times to ensure complete washing.
- 25 4. Blocking is carried out to prevent reactions occurring other than at well sites with 50mg/ml Bovine Serum Albumin (BSA) (in PBS) using flood/fill. 1ml of BSA/PBS is flooded across the sector, pipetted up and down three times, withdrawn and discarded. This allows all wells 76 to be filled simultaneously.
- 30 5. Incubate for 15 minutes at room temperature.
- 35 6. Wash as before.
7. Primary antibodies are applied to the sector plate 64

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- as a mixture using flood/fill with each individual antibody at the following concentrations: anti-Human Serum Albumin 1/1000, anti-Antitrypsin 1/2000, anti-Macroglobulin 1/2000, anti-Antithrombin III 1/1000, anti-Catalase 1,1000, anti-Antichymotrypsin 1/1000, anti-Plasminogen 1/1000. Antibodies are diluted in 0.5mg/ml BSA/PBS. Incubate for 10 minutes at room temperature.
- 5
7. Wash as before.
- 10
8. Second antibody is Amdex anti-IgG (peroxidase conjugate) at a concentration of 1/1000 in 0.5 mg/ml BSA/PBS. After washing this is applied to the sector using flood/fill. Incubate at room temperature for 10 minutes.
- 15
9. Wash as before.
- 10.
10. The substrate is insoluble Tetramethylbenzidine (TMB). This reacts with the peroxidase on the second antibody to produce an intense blue colour. After washing this is applied to the sector plate 64 by flood/fill but is left flooded across the sector plate 64 after pipetting up and down several times. Incubate for 10 minutes at room temperature.
- 20
- 11.
- 25
11. Remove TMB and discard. Wash out the wells with distilled water four times by flood/fill. A blue precipitate will be evident in wells with a positive reaction. No colour is produced in negative wells. Store sections in dark as TMB will slowly fade in daylight.
- 30
- The data for the above assay is shown in Fig. 6a and is graphically represented in Fig. 6b which is reproducible and is representative of a large number of experiments (712).
- 35
- It will be seen that there is a significant measurable change for each antibody/antigen reaction compared with the background level. The reaction results in an optical change, from transparent to coloured (blue) and which is measured using an optical detector which measures light

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transmissivity through the disk and wells. In this case optical assessment was carried out using the apparatus as shown in Fig. 5 by locating the plate 64 in a light transmissive microscope 80 (Zeiss Axiophot fitted with a JVC video camera 83 (Model No. TK-1280E)) and sensing the change in optical signal. The output of the video camera is connected to Macintosh IICx 85 with video frame capture. The results can be displayed via the Mac display 87 or a hard copy provided by printer 86. Analysis was carried out by measuring mean grayscale values in centre of wells quantified by NIH Image software. Background levels taken from sectors which had not been exposed to immuno-chemicals or chromogen were subtracted from all experimental wells. Experimental wells contained array or seven separate antigens listed above. In addition, experimental controls were carried out in which specific antigen was omitted wells and wells exposed to the same regime of blocking, antibody binding and exposure to chromogenic substrate. The average reading from these experimental controls minus mean reading from the sector alone was defined as the background level of staining. Experimental readings from the seven specific antigens providing signals of approximately five to six times greater than this background. It will be observed that there is no cross-contamination between wells 76 because of the efficiency of withdrawal and because the substrate in this case is insoluble. However, this assay would also work satisfactorily for soluble substrates because of fluid withdrawal from the sector plate 64 leaving fluid in the wells 76 only, not on surface 64a.

In a modification, if it was unnecessary to withdraw all of the liquid to leave a film on surface 64, the assay would still work with an insoluble substrate in each well; cross-contamination would still not occur. However, this arrangement would be unsatisfactory for soluble substrates in the wells as the film could cause dispersal to other locations and provide contamination of other wells.

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With the embodiment shown in Figs. 4a,4b the disk sector plate 54 is more suitable for conducting a variety of different assays, e.g. antigen/antibody assays for different patients, i.e. one patient/sector.

5 It will be appreciated that modifications may be made to the above described embodiments without departing from the scope of the present invention. For example, the opening through which the liquid analyte is introduced may be provided through the lower plate of the multi-well
10 container. More than one opening can be used for faster flooding. This opening may be arranged to receive the tip of a syringe needle. The vent opening may also be provided in any one of the walls of the container although it is preferably provided in a peripheral wall. The opening 22
15 may be provided by a single opening 22 or by a series of openings or vents as shown in Fig. 4d for example. A laser may be used with CD optics instead of the microscope and video camera for the embodiment of Fig. 4. The top plate in the embodiment of Figs. 3 and 4 may be snap-fitted to the lower plate and may be snap-fitted onto a CD base
20 plate which would receive sections and provide the advantage of positioned information. As shown in Fig. 4c the upper planar surface 56 can have sector covers connected to a lower surface or central boss by a hinge, for example an integrated living hinge 90 at the inner
25 radius to allow each disk sector 62 to be pivotably raised and lowered and allow sector plates 64 to be inserted into each sector. The well size and spacing may be varied as required, for example the wells could be 3mm in diameter; 30 1.5mm apart and spaced 5.5mm between centre. The exact size and spacing is a matter of choice consistent with the requirement that fluid is retained in the wells after withdrawal as described above. However, the wells could also be filled during flooding of the space depending on
35 the well size, type of plastic and fluid properties. However, liquid will still be retained in the wells upon withdrawal of the liquid. Also, the structure and inserts

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made may be of any suitable optically transmissive plastic, such as polystyrene or perspex (TM). The handle 66 may be integrated with or detachable from plate 64. As shown in Fig. 4a the radially extending ribs may have radial shoulders 92 to define a recess 94 for receiving the plate 64 also defining the spacing height between the surface 64a of the plate 64 and the underside 56a for receiving the liquid. Suitable materials may be used to coat the interior of the sectors to aid fluid movement as described with reference to silicone above. This may be applied to the underside of the top surface and to the top surface of the plate as for the other embodiments. Suitable materials may be used to increase the hydrophobicity of liquid across the sector and hydrophilicity to aid movement of liquid into the desired locations, e.g. wells. The wells may be coated with a suitable optical reflective material to enhance the reflection of light and observation of reactions occurring within the wells and, similarly, lenses may be located in the top or bottom light transmissive plate to improve optical assessment of the reaction. These lenses may be moulded into the upper or lower plates during the manufacture as is well known in plastic moulding processes. Separate optical elements may be used instead, if appropriate.

In a modification to the embodiments described, the wells are absent from the upper surface of the plate and that plate retains its planar surface to enable a thin, uniform layer of liquid to be introduced into the space between the upper disk plate and the insert plate. An insoluble substrate with reagent or reagents (e.g. an antigen) may be applied directly to the planar surface of the insert plate by for example applying spots of reagent thereto.

For certain applications, it may be appropriate to provide each insert with a lid which can be slid into the space between the insert and the upper plate 22 of the disk following filling of the wells. The lower surface of the

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lid may be arranged to be flush with the surface of the insert so as to close off each well. This prevents liquid from being thrown out of the wells during spinning of the disk during automated reading and analysis. The

5 invention has use in immunoassay applications including tests for sexually transmitted diseases, parasites, allergens, cancer markers and cardiac markers, either in laboratories or at point-of-care locations, for example medical practitioners offices or the like. Other

10 applications of the invention are in chemical and biochemical assays. Examples of such assays include immunoassay, clinical biochemistry tests, nucleic acid analysis and receptor ligand interactions. Examples of

15 clinical biochemistry uses would be in measurement of serum analytes such as glucose, urea, creatinine and enzymes such as alkaline phosphatase. Immunoassay application include

tests designed to detect infectious organisms, viruses, parasites as well as endogenous analytes such as circulating hormone levels and cancer markers. Examples

20 of chemical analysis include measure of phosphate and nitrate levels in water, environmental and industrial monitoring including potable and waste water and process monitoring. The system could be used in a variety of

25 settings including clinical laboratories, doctor's and veterinary surgeries as well as industrial and research laboratories.

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CLAIMS

1. A multi-well assay plate structure comprising:

a first upper surface,

a second lower surface having a plurality of wells disposed therein,

5 the first and second surfaces defining a chamber having an inlet and an outlet, the inlet and outlet allowing fluid to be introduced and withdrawn from the chamber, the wells being proportioned and dimensioned to retain a volume of fluid in each well following withdrawal
10 of the liquid.

2. An assay plate structure as claimed in claim 1 wherein the chamber is shallow enough to allow fluid to fill the wells and the chamber.

3. An assay plate structure as claimed in claim 1 or 2
15 wherein the wells are deep enough to retain a volume of fluid following withdrawal of fluid in the space above the wells.

4. An assay plate structure as claimed in any preceding claim wherein the plate structure is sector-shaped with a
20 handle at the longer arc-portion to facilitate locating the sector on a disc.

5. An assay plate structure as claimed in claim 4 wherein a plurality of sector-shaped plate structures are carried by a disc.

6. An assay plate structure as claimed in claim 5 wherein
25 also the sectors and discs are made of plastic and the sectors can be snap-fitted onto the disc.

7. An assay plate structure as claimed in claim 5 or 6
30 wherein the sectors and the disc include lock and key portions to allow the sectors to be snap-fitted in the correct orientation only.

8. An assay plate structure as claimed in any one of claims 1 to 4 wherein the assay plate structure is a disc moulded in one piece with a plurality of wells.

35 9. An assay plate structure as claimed in claim 8 wherein the structure includes an upper disc with a plurality of

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hinged sectors for allowing the sector to pivot from and return to the plane of the disc to facilitate the insertion and removal of assay plates in the sector space.

5 10. An assay plate structure as claimed in any preceding claim wherein the disk structure has a circumferential gutter extending around its periphery to facilitate collection of fluid following fluid withdrawal from the chamber.

10 11. An assay plate structure as claimed in any preceding claim wherein the plate structure and sector inserts are made of optically transmissive plastic.

15 12. A multi-well assay structure comprising an upper surface and a lower closely spaced opposed surface, said upper and lower surfaces defining a relatively shallow space therebetween, the lower surface having a plurality of wells therein, at least two spaced apart openings providing access to said space from an external location, wherein a fluid introduced into said space through one of said openings substantially fills the space and covers all of
20 the wells and said fluid when subsequently withdrawn through the same or the other opening leaves the wells substantially filled with liquid.

25 13. An assay plate structure as claimed in claim 12 wherein the spacing between said upper and lower surfaces is sufficiently small to facilitate the flow of fluid in said space by capillary or capillary like action.

14. An assay plate structure as claimed in claim 13 wherein the spacing is less than 1mm.

30 15. An assay plate structure as claimed in claim 14 wherein the spacing is less than 0.5mm.

16. An assay plate structure as claimed in claims 13, 14 or 15 wherein the surfaces are provided by respective upper and lower plates which are spaced apart by one or more spacer walls.

35 17. An assay plate structure as claimed in any one of claims 13 to 16 wherein the opening through which fluid is introduced into said space is provided through either the

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upper or lower surface.

18. An assay plate structure as claimed in claim 17 wherein the opening is provided through the upper surface.

19. An assay plate structure as claimed in any one of claims 13 to 18 wherein said opening for introducing a fluid comprises a relatively small opening arranged to receive the end of a syringe or similar liquid injecting device, where the opening forms a substantially air-tight seal around said end.

20. An assay plate structure as claimed in any one of claims 13 to 19 wherein the underside of said upper surface of the container and the upper surface of the plate are treated to increase the hydrophobicity of such surfaces.

21. An assay plate structure as claimed in any one of claims 12 to 20 wherein the multi-well structure is a disk which comprises upper and lower circular plates, the internal surfaces of which respectively define said upper and lower opposed surfaces.

22. An assay plate structure as claimed in claim 21 wherein the second opening is provided at the peripheral edge of the disc.

23. An assay plate structure as claimed in claim 21 or 22 wherein the space between the upper and lower plates is subdivided, by one or more dividing walls, to provide a plurality of multi-well plates in which case each space is provided with an opening and a vent to enable each space to be independently filled.

24. An assay plate structure as claimed in claim 23 wherein the dividing walls are radially extending.

25. An assay plate structure as claimed in any one of claims 21 to 24 wherein at least one of the upper and lower plates forming the structure are transparent to enable optical inspection of the wells from outside the structure.

26. An assay plate structure as claimed in claim 24 wherein the other of the upper and lower plates may comprise a reflecting surface so that radiation entering into the structure through the transparent plate

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transverses the structure in both directions for providing improved signal detection.

27. An assay plate structure as claimed in any one of claims 12 to 20 wherein there is provided a disc arranged to receive a plurality of sector-shaped inserts each of which comprises a generally planar upper surface having a plurality of wells provided therein, the disk having, for each insert, a substantially planar surface arranged, in use, to oppose said substantially planar insert surface and means for retaining the insert in position so that the respective planar surfaces are in a closely spaced arrangement to one another, and to said at least two openings.

28. An assay plate structure as claimed in claim 27 wherein the opening for filling the space is provided through the planar surface of the disc.

29. An assay plate structure as claimed in claim 27 or 28 wherein the vent opening is provided at, or adjacent to, the peripheral edge of the disc.

30. An assay plate structure as claimed in claims 27 to 29 wherein the disc comprises upper and lower circular plates separated by radially extending spacers.

31. An assay plate structure as claimed in claim 30 wherein said planar surface of each insert comprises upstanding walls around at least a portion of its periphery for the purpose of sealing the inner edges of the insert to the opposed planar surface of the disc, thereby to prevent seepage of liquid around the insert.

32. A method of filling the wells of the multi-well structure of the above first aspect of the present invention, said method comprising the steps of:

introducing a fluid into said chamber through one of said openings to substantially flood the chamber;

and subsequently withdrawing the fluid from the chamber through the same or the other opening to leave liquid in the wells.

33. A method as claimed in claim 8 wherein the method

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adapted to receive spots of an insoluble substrate, carrying a first reagent, or no reagent if a control spot, to create a plurality of separate reaction sites, such at least a reagent is present in the fluid for reacting with the first reagent to create an observable reaction in the chamber.

44. A method of conducting an assay using the structure of claim 43 including the steps of,

disposing a plurality of spots of an insoluble substrate on said lower surface a predetermined distance apart to create a plurality of reaction sites, said spots carrying a first reagent, or none if a control spot,

flooding the chamber with fluid carrying at least one second reagent, withdrawing the fluid from the chamber to leave sufficient spots of fluid in contact with the substrate spots, and

optically monitoring each spot location to detect a reaction.

FIG. 2d

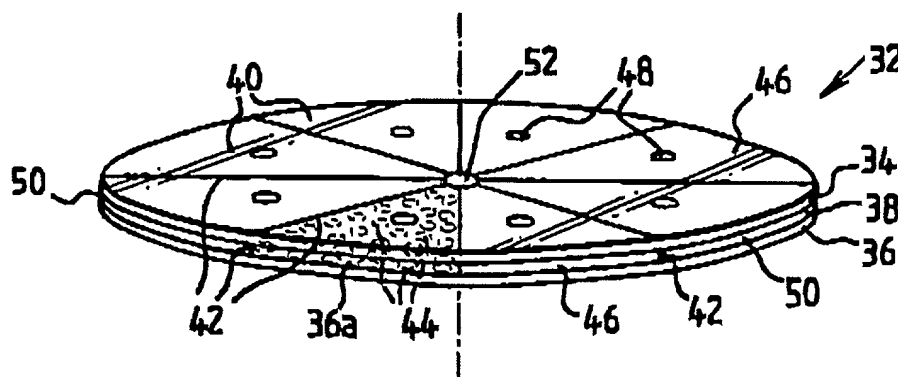


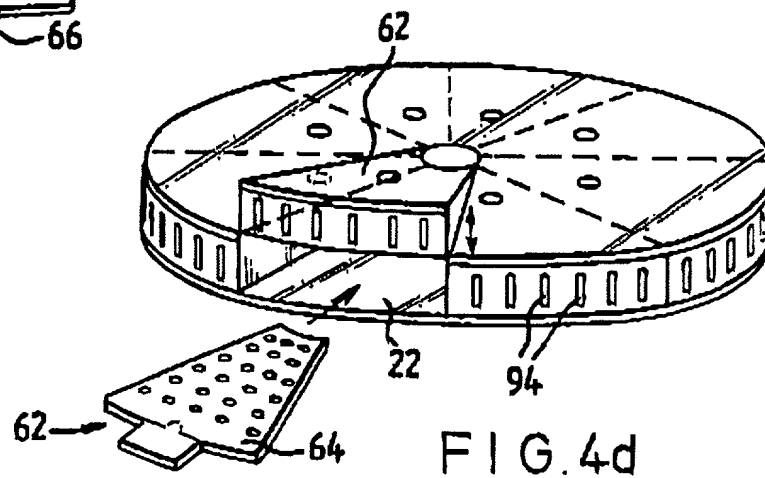
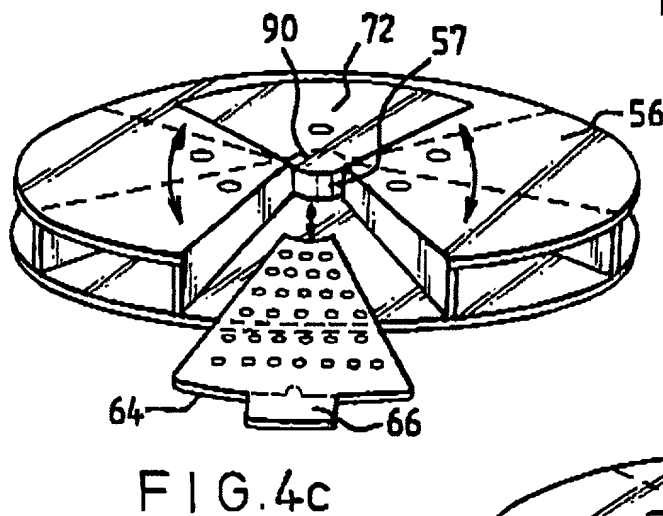
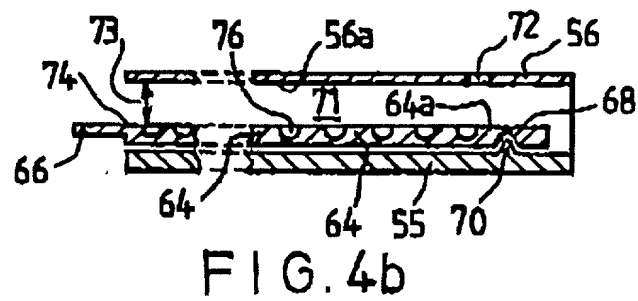
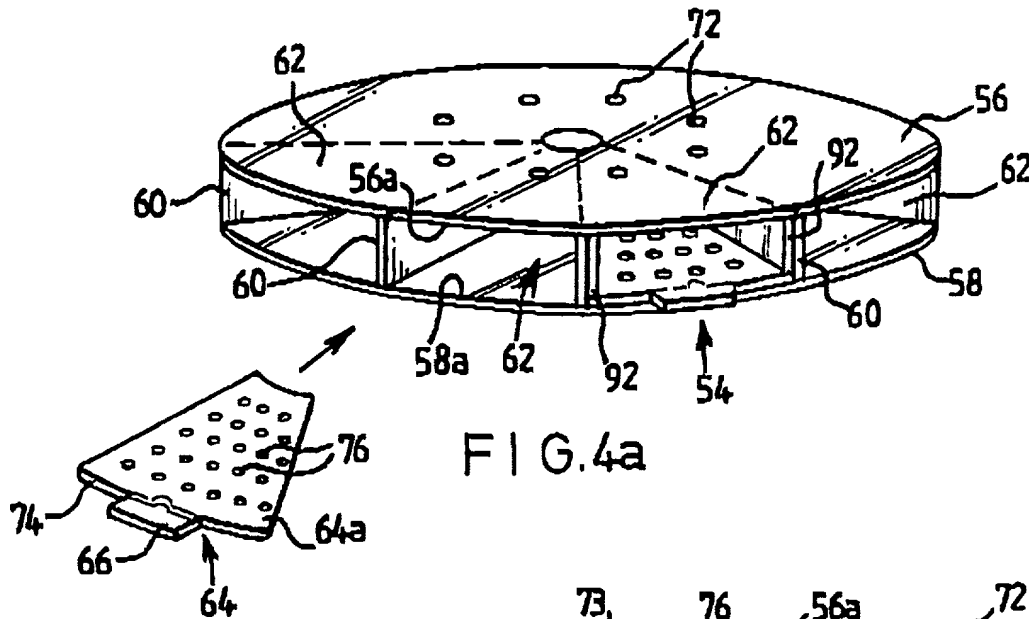
FIG. 3

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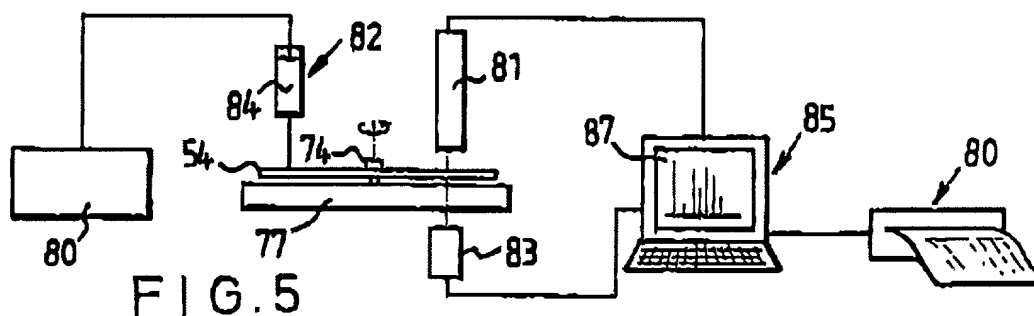
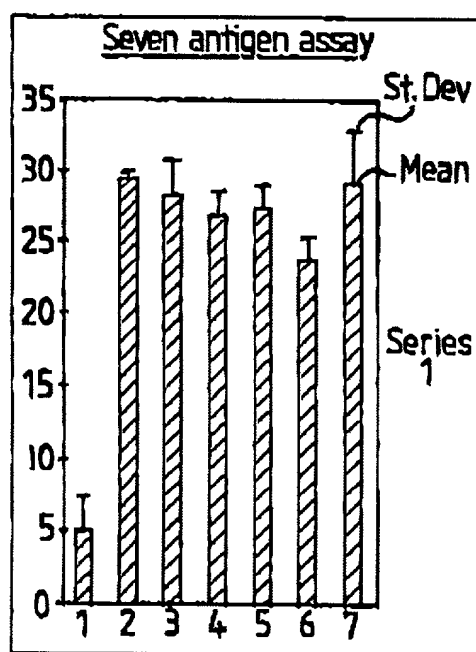


FIG. 5

| Data | Clear plastic | Clear plastic +Blue reaction agent +Blocking agent | Seven antigen assay | | | | | | |
|------------------|---------------|--|---------------------|-------|-------|-------|-------|-------|-------|
| | ↓ | ↓ | | | | | | | |
| | 76.4 | 80.2 | 106.6 | 108.8 | 103.3 | 103.4 | 102.2 | 103.6 | 108.8 |
| | 76.4 | 85.5 | 106.4 | 103.9 | 101.3 | 102.7 | 98.5 | 111.2 | 109 |
| | 76.83 | 81.4 | 105.4 | 103.1 | 105.2 | 104.2 | 101 | 106.2 | 109.5 |
| | 74.53 | 81.6 | 106.5 | 104.7 | 104.7 | 106.4 | 100.7 | 102.9 | 106.4 |
| | 78.62 | 82.6 | | | | | | | |
| | 76.75 | 79.6 | | | | | | | |
| | 77.6 | 78.9 | | | | | | | |
| | 77.8 | 83.3 | | | | | | | |
| <u>Mean-bkgd</u> | | 5 | 29.4 | 28.3 | 26.9 | 27.4 | 23.8 | 292 | 31.6 |
| <u>St.Dev</u> | 0.56 | 2.44 | 0.56 | 2.44 | 1.75 | 1.60 | 1.54 | 3.76 | 1.38 |

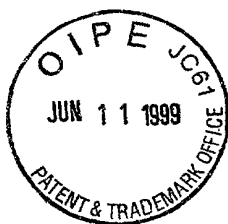
FIG. 6a



Key

- 1 = Background
- 2 = Human serum albumin
- 3 = Antitrypsin
- 4 = Macroglobulin
- 5 = Antithrombin III
- 6 = Catalase
- 7 = Antichymotrypsin

FIG. 6b



Attorney Docket No.: 043601/0110

DECLARATION AND POWER OF ATTORNEY
(Sole Inventor)

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; that I believe I am the original, first inventor of the subject matter which is claimed and for which a patent is sought on the invention or design entitled APPARATUS AND METHOD FOR CONDUCTING ASSAYS, the specification of which:

X is attached hereto; or
was filed in the United States on April 8, 1999 as Application Serial No. 09/284,421;

that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above; and that I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 of any foreign application(s) for patent or inventor's certificate designated below and have also identified below any foreign application(s) for patent or inventor's certificate having a filing date before that of the application to which priority is claimed:

| <u>Number</u> | <u>Country</u> | <u>Date Filed</u> | <u>Priority Claimed</u> |
|---------------|----------------|-------------------|-------------------------|
| 9620934.1 | UNITED KINGDOM | 08/10/96 | Yes |

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application(s) in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R. § 1.56(a) which occurred between the filing date of the prior application(s) and the national or PCT international filing date of this application:

Application Serial Number

Date Filed

Status

I hereby claim the benefit under Title 35, United States Code, § 199(e) of any United States provisional applications(s) listed below:

(Application Serial Number)

(Date Filed)

(Application Serial Number)

(Date Filed)

(Application Serial Number)

(Date Filed)

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I hereby appoint Russell J. Barron, Reg. No. 29,512; Stephen A. Bent, Reg. No. 29,768; David A. Blumenthal, Reg. No. 26,257; Melanie E. Bronson, Reg. No. 40,924; John C. Cooper, Reg. No. 26,416; Harry C. Engstrom, Reg. No. 26,876; John J. Feldhaus, Reg. No. 28,822; Jack L. Lahr, Reg. No. 19,621; Peter G. Mack, Reg. No. 26,001; Brian J. McNamara, Reg. No. 32,789; Sybil Meloy, Reg. No. 22,749; James G. Morrow, Reg. No. 32,505; Jefferson Perkins, Reg. No. 31,407; George E. Quillin, Reg. No. 32,792; Michael D. Rechtin, Reg. No. 30,128; Donald P. Reynolds, Reg. No. 26,220; Colin G. Sandercock, Reg. No. 31,298; Bernhard D. Saxe, Reg. No. 28,665; Charles F. Schill, Reg. No. 27,590; Richard L. Schwaab, Reg. No. 25,479; Arthur Schwartz, Reg. No. 22,115; Harold C. Wegner, Reg. No. 25,258, all of said attorneys being of the firm of Foley & Lardner, our attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and to file and prosecute any international patent applications filed thereon before any international authorities under the Patent Cooperation Treaty.

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I declare that all statements made herein of my own knowledge are true and that all

statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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